

Health and Disability Ethics Committees
Ministry of Health
Freyberg Building
20 Aitken Street
PO Box 5013
Wellington

0800 4 ETHICS hdecs@moh.govt.nz

18 November 2015

Prof Richard Beasley Medical Research Institute of New Zealand Private Bag 7902 Newtown Wellington 6242

Dear Prof Beasley

Re: Ethics ref: 15/NTB/178

Study title: Randomised Controlled Trial of an Inhaled Corticosteroid and Long-Acting Beta Agonist Reliever Therapy Regimen in Asthma.

I am pleased to advise that this application has been <u>approved</u> by the Northern B Health and Disability Ethics Committee. This decision was made through the HDEC-Full Review pathway.

Conditions of HDEC approval

HDEC approval for this study is subject to the following conditions being met prior to the commencement of the study in New Zealand. It is your responsibility, and that of the study's sponsor, to ensure that these conditions are met. No further review by the Northern B Health and Disability Ethics Committee is required.

Standard conditions:

- 1. Before the study commences at *any* locality in New Zealand, all relevant regulatory approvals must be obtained.
- 2. Before the study commences at *any* locality in New Zealand, it must be registered in a WHO-approved clinical trials registry (such as the Australia New Zealand Clinical Trials Registry, www.anzctr.org.au).
- 3. Before the study commences at *a given* locality in New Zealand, it must be authorised by that locality in Online Forms. Locality authorisation confirms that the locality is suitable for the safe and effective conduct of the study, and that local research governance issues have been addressed.

After HDEC review

Please refer to the *Standard Operating Procedures for Health and Disability Ethics Committees* (available on www.ethics.health.govt.nz) for HDEC requirements relating to amendments and other post-approval processes.

Your next progress report is due by 17 November 2016.

Participant access to ACC

The Northern B Health and Disability Ethics Committee is satisfied that your study is not a clinical trial that is to be conducted principally for the benefit of the manufacturer or distributor of the medicine or item being trialled. Participants injured as a result of treatment received as part of your study may therefore be eligible for publicly-funded compensation through the Accident Compensation Corporation (ACC).

Please don't hesitate to contact the HDEC secretariat for further information. We wish you all the best for your study.

Yours sincerely,

R.D. Sporte

Raewyn Sporle Chairperson

Northern B Health and Disability Ethics Committee

Encl: appendix A: documents submitted

appendix B: statement of compliance and list of members

Appendix A Documents submitted

| Document | Version | Date |
|--|---------|-------------------|
| CV for CI: CV: Prof Richard Beasely | 1.0 | 14 July 2014 |
| CVs for other Investigators: CV: Dr Steve McKinstry | 1.0 | 31 May 2015 |
| CVs for other Investigators: CV: Dr Janine Pilcher | 1.0 | 01 September 2015 |
| Evidence of CI indemnity | 1.0 | 01 February 2015 |
| Evidence of scientific review: Peer Review: Note to File | 1.0 | 16 September 2015 |
| Investigator's Brochure: Datasheet: Bricanyl | 1.0 | 12 November 2014 |
| Investigator's Brochure: Datasheet: Pulmicort | 1.0 | 04 March 2013 |
| Investigator's Brochure: Datasheet: Symbicort | 1.0 | 04 March 2013 |
| Survey/questionnaire: Beliefs about Medicines Questionnaire | 1.0 | 16 September 2015 |
| Survey/questionnaire: Asthma Control Questionnaire (ACQ-5) | 1.0 | 30 November 2001 |
| Asthma Action Plan: ICS/LABA Peak Flow | 1.0 | 12 August 2015 |
| Asthma Action Plan: ICS/LABA | 1.0 | 12 August 2015 |
| Asthma Action Plan: ICS and SABA Peak Flow | 1.0 | 12 August 2015 |
| Asthma Action Plan: ICS and SABA | 1.0 | 12 August 2015 |
| PIS/CF: Withdrawal of Consent Form | 1.0 | 16 September 2015 |
| GP to Patient Letter | 1.0 | 16 September 2015 |
| Inhaler use information: ICS and SABA (Electronic monitor) | 1.0 | 16 September 2015 |
| Inhaler use information: ICS and SABA (Non-Electronic monitor) | 1.0 | 16 September 2015 |
| Inhaler use information: ICS/LABA (Electronic monitor) | 1.0 | 16 September 2015 |
| Inhaler use information: ICS/LABA (Non-Electronic monitor) | 1.0 | 16 September 2015 |
| Participant Card | 1.0 | 16 September 2015 |
| PIS/CF: PIS-CF | 1.0 | 16 September 2015 |
| Protocol: PRACTICAL Protocol | 1.0 | 16 September 2015 |
| Other (No Description Entered) | 1 | 17 September 2015 |
| PIS/CF: PIS-CF V2.0 Clean | 2.0 | 16 October 2015 |
| PIS/CF: PIS-CF V2.0 Tracked Changes | 2.0 | 16 October 2015 |
| Provisional Approval Letter of Response | 1.0 | 30 October 2015 |

Appendix B Statement of compliance and list of members

Statement of compliance

The Northern B Health and Disability Ethics Committee:

- is constituted in accordance with its Terms of Reference
- operates in accordance with the Standard Operating Procedures for Health and Disability Ethics Committees, and with the principles of international good clinical practice (GCP)
- is approved by the Health Research Council of New Zealand's Ethics Committee for the purposes of section 25(1)(c) of the Health Research Council Act 1990
- is registered (number 00008715) with the US Department of Health and Human Services' Office for Human Research Protection (OHRP).

List of members

| Name | Category | Appointed | Term Expires |
|----------------------------|---|------------|--------------|
| Mrs Raewyn Sporle | Lay (the law) | 01/07/2012 | 01/07/2015 |
| Mrs Maliaga Erick | Lay (consumer/community perspectives) | 01/07/2012 | 01/07/2015 |
| Mrs Phyllis Huitema | Lay (consumer/community perspectives) | 19/05/2014 | 19/05/2017 |
| Dr Nora Lynch | Non-lay (health/disability service provision) | 01/07/2015 | 01/07/2018 |
| Miss Tangihaere Macfarlane | Lay (consumer/community perspectives) | 19/05/2014 | 19/05/2017 |
| Mrs Kate O'Connor | Non-lay (other) | 01/07/2012 | 01/07/2015 |
| Mrs Stephanie Pollard | Non-lay (intervention studies) | 01/07/2012 | 01/07/2015 |

Unless members resign, vacate or are removed from their office, every member of HDEC shall continue in office until their successor comes into office (HDEC Terms of Reference)

http://www.ethics.health.govt.nz