

17 October 2018

Dr Margaret Wilsher  
ADHB, Private Bag 92024  
Wellesley St  
Auckland 1142

Dear Dr Wilsher

Re:	<b>Ethics ref:</b>	<b>18/NTA/139</b>
	Study title:	A comparative study of functional MR in the assessment of V/Q mismatch in COPD.

I am pleased to advise that this application has been approved by the Northern A Health and Disability Ethics Committee. This decision was made through the HDEC-Expedited Review pathway.

#### Conditions of HDEC approval

HDEC approval for this study is subject to the following conditions being met prior to the commencement of the study in New Zealand. It is your responsibility, and that of the study's sponsor, to ensure that these conditions are met. No further review by the Northern A Health and Disability Ethics Committee is required.

#### Standard conditions:

1. Before the study commences at *any* locality in New Zealand, all relevant regulatory approvals must be obtained.
2. Before the study commences at *any* locality in New Zealand, it must be registered in a clinical trials registry. This should be a WHO-approved registry (such as the Australia New Zealand Clinical Trials Registry, [www.anzctr.org.au](http://www.anzctr.org.au)) or <https://clinicaltrials.gov/>.
3. Before the study commences at *each given* locality in New Zealand, it must be authorised by that locality in Online Forms. Locality authorisation confirms that the locality is suitable for the safe and effective conduct of the study, and that local research governance issues have been addressed.

#### After HDEC review

Please refer to the *Standard Operating Procedures for Health and Disability Ethics Committees* (available on [www.ethics.health.govt.nz](http://www.ethics.health.govt.nz)) for HDEC requirements relating to amendments and other post-approval processes.

**Your next progress report is due by 16 October 2019.**

Participant access to ACC

The Northern A Health and Disability Ethics Committee is satisfied that your study is not a clinical trial that is to be conducted principally for the benefit of the manufacturer or distributor of the medicine or item being trialled. Participants injured as a result of treatment received as part of your study may therefore be eligible for publicly-funded compensation through the Accident Compensation Corporation (ACC).

Please don't hesitate to contact the HDEC secretariat for further information. We wish you all the best for your study.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'B J Fergus', with a horizontal line underneath.

Dr Brian Fergus  
Chairperson  
Northern A Health and Disability Ethics Committee

Encl: appendix A: documents submitted  
appendix B: statement of compliance and list of members

**Appendix A**  
**Documents submitted**

<i>Document</i>	<i>Version</i>	<i>Date</i>
Protocol: protocol	2	05 July 2018
PIS/CF: PIS patient	2	01 October 2018
PIS/CF: PIS volunteer	2	01 October 2018
PIS/CF: consent form	1	05 July 2018
advertisement	1	02 October 2018
Evidence of scientific review: letter of approval which is tacit review finding	1	20 March 2017
CV for CI: brief CV	1	30 November 2017
Application		
Covering Letter: Response to committee	1	02 October 2018
Response to Request for Further Information		

## Appendix B Statement of compliance and list of members

### Statement of compliance

The Northern A Health and Disability Ethics Committee:

- is constituted in accordance with its Terms of Reference
- operates in accordance with the *Standard Operating Procedures for Health and Disability Ethics Committees*, and with the principles of international good clinical practice (GCP)
- is approved by the Health Research Council of New Zealand's Ethics Committee for the purposes of section 25(1)(c) of the Health Research Council Act 1990
- is registered (number 00008714) with the US Department of Health and Human Services' Office for Human Research Protection (OHRP).

### List of members

<i>Name</i>	<i>Category</i>	<i>Appointed</i>	<i>Term Expires</i>
Dr Brian Fergus	Lay (consumer/community perspectives)	11/11/2015	11/11/2018
Dr Karen Bartholomew	Non-lay (intervention studies)	13/05/2016	13/05/2019
Dr Christine Crooks	Non-lay (intervention studies)	11/11/2015	11/11/2018
Dr Catherine Jackson	Non-lay (health/disability service provision)	11/11/2016	11/11/2019
Ms Toni Millar	Lay (consumer/community perspectives)	11/11/2016	11/11/2019
Dr Kate Parker	Non-lay (observational studies)	11/11/2015	11/11/2018
Ms Rochelle Style	Lay (ethical/moral reasoning)	14/06/2017	14/06/2020

Unless members resign, vacate or are removed from their office, every member of HDEC shall continue in office until their successor comes into office (HDEC Terms of Reference)

<http://www.ethics.health.govt.nz>