

Barwon Health Human Research Ethics Committee

ETHICS APPROVAL

Dr Jun Parker 141-151 Bentinck Street Portland VIC 3305 Australia

13 April 2021

Dear Dr Jun Parker,

Project Title Sneezing under intravenous sedation during nasal local anaesthetic injection for plastic

surgery procedures.

Project ID 65803

Review Reference HREC/65803/VICBH-2021-258601(v4)

Local Reference

Number

20/114

I am pleased to advise that the above project has received ethical approval from Barwon Health Human Research Ethics Committee (HREC).

The HREC confirms that your proposal meets the requirements of the *National Statement on Ethical Conduct in Human Research* (2007). This HREC is organised and operates in accordance with the National Health and Medical Research Council's (NHMRC) *National Statement on Ethical Conduct in Human Research* (2007), and all subsequent updates, and in accordance with the *Note for Guidance on Good Clinical Practice* (*CPMP/ICH/135/95*), the Health Privacy Principles described in the *Health Records Act 2001* (Vic) and Section 95A of the *Privacy Act 1988* (and subsequent Guidelines).

Participating Sites

Ethical approval for this project applies at the following site(s):

Site	State/Territory
Portland District Health	Victoria

Approved Documents

The following documents have been reviewed and approved:

Document Type	File Name	Date	Version
Evidence of the outcome	2019 03 Approval Letter and	01/03/20	19 1

	Certificate (2)	
Evidence of the outcome	2019002251 - Approval Form (1)	01/09/2019 1
Victorian specific module (VSM)	VSM sneezing randomised	12/06/2020 1
Protocol	Research protocol randomised trial-Version 3.0 (clean)	13/01/2021 3.0
Participant information and consent form	Participant information sheet - Version 2 (clean)	13/01/2021 2
PARTICIPANT INFORMATION AND CONSENT FORM (TRACKED)	Participant information sheet - Version 2 (tracked)	13/01/2021 2
PROTOCOL (TRACKED)	Research protocol randomised trial- Version 3.0 (tracked)	13/01/2021 3.0
RESPONSE LETTER	Barwon Health sneezing ethics - answers	13/01/2021 1
Other project-related documentation	Data Collection Sheet - sneezing - randomised controlled trial version 2.0 (clean)	23/01/2021 2.0
Copy of CTN	Clinical Trial 'CT-2021-CTN-00338-1'	12/04/2021 1.0

Research Governance Authorisation

Research governance/site specific assessment (SSA) authorisation must be obtained by each participating site before the research project can commence at that site.

You are required to provide a copy of this HREC approval letter to the principal investigator of each site covered by this ethics approval. A copy must be included in each site's research governance/SSA application.

Conditions of Ethics Approval

- 1. You are required to submit to the HREC:
 - An Annual Progress Report (that covers all sites listed on approval) for the duration of the project.
 This report is due on the anniversary of HREC approval. Continuation of ethics approval is
 contingent on submission of an annual report, due within one month of the scheduled date. Failure
 to comply with this requirement may result in suspension of the project by the HREC.
 - A comprehensive Final Report upon completion of the project.
- 2. Submit to the reviewing HREC for approval any proposed amendments to the project including any proposed changes to the Protocol, Participant Information and Consent Form/s and the Investigator Brochure.
- 3. Notify the reviewing HREC of any adverse events that have a material impact on the conduct of the research in accordance with *Safety Monitoring and Reporting in Clinical Trials Involving Therapeutic Goods* (NHMRC, 2016).
- 4. Notify the reviewing HREC of your inability to continue as Principal Investigator.
- 5. Notify the reviewing HREC of the failure to commence the research project within 12 months of the HREC approval date or if a decision is taken to end the research project at any of the sites prior to the expected date of completion.
- 6. Notify the reviewing HREC of any matters which may impact the conduct of the research project.
- If your project involves radiation, you are legally obliged to conduct your research in accordance with the Australian Radiation Protection and Nuclear Safety Agency Code of Practice 'Exposure of Humans to Ionizing Radiation for Research Purposes' Radiation Protection series Publication No.8 (May 2005) (ARPANSA Code).
- 8. The HREC, authorising institution and/or their delegate(s) may conduct an audit of the research project at any time.

Regards,

Mr Richard Larsen HREC Executive Officer Barwon Health